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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/657,420	09/08/2003	Jose E. Lizardi	022956-0238 9019	
21125 NUTTER MCC	7590 07/24/200 CLENNEN & FISH LL	EXAMINER		
WORLD TRADE CENTER WEST			MENDOZA, MICHAEL G	
155 SEAPORT BOULEVARD BOSTON, MA 02210-2604			ART UNIT	PAPER NUMBER
			3734	
			MAIL DATE	DELIVERY MODE
			07/24/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

r		Application No.	Applicant(s)			
		10/657,420	LIZARDI, JOSE E.			
	Office Action Summary	Examiner	Art Unit			
		Michael G. Mendoza	3734			
	The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply					
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
<ol> <li>Responsive to communication(s) filed on <u>09 May 2007</u>.</li> <li>This action is <b>FINAL</b>. 2b) This action is non-final.</li> <li>Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i>, 1935 C.D. 11, 453 O.G. 213.</li> </ol>						
Dispositi	on of Claims					
5)⊠ 6)□ 7)⊠ 8)□ <b>Applicati</b> 9)□ 10)□	Claim(s) 70,71 and 73-101 is/are pending in the 4a) Of the above claim(s) is/are withdraw Claim(s) 70,71 and 73-90 is/are allowed.  Claim(s) 91 and 93-98 is/are rejected.  Claim(s) 92 and 99-101 is/are objected to.  Claim(s) are subject to restriction and/or on Papers  The specification is objected to by the Examine. The drawing(s) filed on is/are: a) access Applicant may not request that any objection to the Replacement drawing sheet(s) including the correction of the oath or declaration is objected to by the Examine.	r election requirement.  r. epted or b) □ objected to by the Edrawing(s) be held in abeyance. See ion is required if the drawing(s) is objected to by the beginning the drawing(s) is objected to by the legan to the drawing(s) is objected to by the legan than the drawing(s) is objected to by the legan than the drawing(s) is objected to by the legan than the legan t	e 37 CFR 1.85(a). ected to. See 37 CFR 1.121(d).			
Priority u	ınder 35 U.S.C. § 119					
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some * c) None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.						
2) Notice 3) Information	te of References Cited (PTO-892) te of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO/SB/08) or No(s)/Mail Date 10/27/05 6/5/07.	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:	ate			

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### **DETAILED ACTION**

## Response to Arguments

1. Applicant's arguments filed 9 May 2007 have been fully considered but they are not persuasive. In response to the applicant argument that Giannuzzi does not disclose a groove, the examiner disagrees. The applicant does not disclose the specific size of the groove. A groove is a channel or a depression. Because the applicant does not define the specific size of the groove the notch disclosed by Giannuzzi reads on the limitation. Furthermore the applicant does not specifically state where the groove is. The only limitation for the placement of the groove is that it is located at the distal end of the anchor. Nor does the applicant disclose the length of the distal end or the suture engaging tip. Therefore the tip as shown by Giannuzzi reads on the limitations of the claim.

2. In response to applicant's argument that the device of Giannuzzi is not a suture anchor, a recitation of the intended use of the claimed invention must result in a structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim. Furthermore, a suture is not positively claimed.

# Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

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A person shall be entitled to a patent unless -

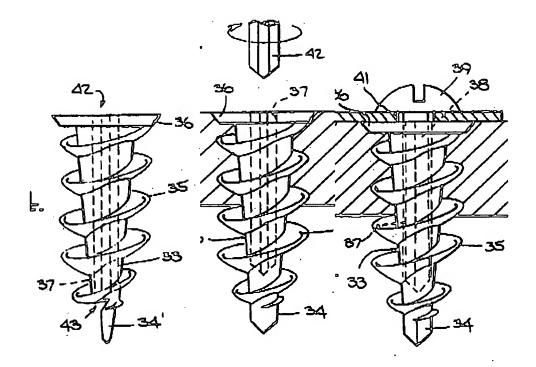
(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 5. Claims 91, 93, 94, and 96-98 are rejected under 35 U.S.C. 102(b) as being anticipated by Giannuzzi 4892429.
- 6. Giannuzzi teaches a system comprising: a radially expandable anchor including a bore extending longitudinally from a proximal end, a tapered tip at a distal end, the tip having formed therein a groove, wherein the taper of the tip extends a distance at least equal to the length of the groove; a expander pin; wherein the anchor is comprised of an expandable sleeve in engagement with the tip; wherein the anchor includes an external surface feature; wherein the external surface feature is selected from the group consisting of ridges, wedges, and fins; wherein the expander pin includes a surface feature effective to assist in the radial expansion of the sleeve; wherein the anchor further includes a pair of longitudinally extending slits extending form the proximal end thereof (col. 7, lines 31-37); and wherein the expander pin is tapered.

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Claim Rejections - 35 USC § 103

- 7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 8. Claim 95 is rejected under 35 U.S.C. 103(a) as being unpatentable over Giannuzzi.
- 9. Giannuzzi teaches the system of claim 91. It should be noted the Giannuzzi fails to teach wherein the expander pin includes a tool-engaging bore. However, it is well known in the art of fasteners that a bore (such as a bore used for engagement for an allen wrench) is an alternate for a slot or a Phillips type engagement for use with a driving tool. Therefore, it would have been obvious to one having ordinary skill in the art

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at the time the invention was made to use a bore as an obvious alternative to the slot used by Giannuzzi.

## Allowable Subject Matter

10. Claims 70, 71, 73--90 are allowable over the prior art of record.

11. Claims 92 and 99-101 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

## Conclusion

12. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

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## Contacts

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael G. Mendoza whose telephone number is (571) 272-4698. The examiner can normally be reached on Mon.-Fri. 9:00 a.m. - 5:00 p.m..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Hayes can be reached on (571) 272-4959. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

MM

MICHAEL J. HAYES SUPERVISORY PATENT EXAMINER